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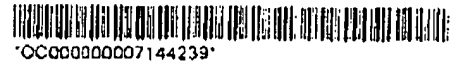
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/989,796 | 11/20/2001 | Michael Y Zhang | 9600 6831 |

CONFIRMATION NO. 1816

Edward J. Lynch
Coudert Brothers LLP
600 Beach Street, 3rd Floor
San Francisco, CA 94109

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FORMALITIES LETTER



OC00000007144239

Date Mailed: 11/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

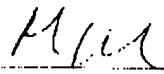
COPY OF PAPERS
ORIGINALLY FILED

02/07/02

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of *M. Zhang et al.*

Examiner: Not yet assigned

For: **BALLOON FORMING DEVICE**

Group Art Unit: 1732

Serial No.: 09/989,796

Filed: November 20, 2001

Docket No.: 9600.6831

**TRANSMITTAL FOR SUBMISSION
OF MISSING PARTS OF
APPLICATION****CERTIFICATE OF MAILING PURSUANT TO 37 CFR 1.8**

I hereby certify that this paper is being deposited in the United States mail as first class mail with postage prepaid, and is addressed to:
The Assistant Commissioner for Patents, U.S. Patent and Trademark Office, Washington, D.C. 20231, Box Missing Parts in San
Francisco, CA on December 12, 2001. By: h. d.

The Assistant Commissioner for Patents
U.S. Patent and Trademark Office
Washington, D.C. 20231
Attention: Box Missing Parts

COPY OF PAPERS
ORIGINALLY FILED

8/2/02

Dear Sir:

In response to the Notice to File Missing Parts of Application - *Filing Date*
Granted mailed November 30, 2001, transmitted herewith are as follows:

1. Two Declarations and Powers of Attorney (1. Michael Y. Zhang and Kerry J. Williams and 2. Johann J. Skinner and Chicheng Wang)
2. Copy of the Notice to File Missing Parts of Nonprovisional Application - *Filing Date Granted* (Part 2)
3. Check for \$130.00
4. Return receipt postcard.

The Commissioner is hereby authorized to charge any additional fees, or credit any overpayment associated with this communication, required under 37 C.F.R. § 1.16

or § 1.17 to Deposit Account No. 13-0201, referencing Dock t No. 9600.6831. This paper is being submitted in duplicate.

Respectfully submitted,



Edward J. Lynch
Registration No. 24,422
Attorney for Applicants

Customer No. 23,422
Coudert Brothers LLP
600 Beach Street, 3RD Floor
San Francisco, CA 94109
Direct Dial: (415) 351-5708
Facsimile: (415) 409-7400

FACSIMILE TRANSMITTAL LETTER

DATE: April 18, 2002
TO: Request for Substitute Papers
FROM: Gunther O. Hanke, Esq.
RE: Application for U.S. Letters Patent
Serial No. 09/989/796
Entitled: BALLOON FORMING PROCESS
Filed: November 20, 2001
Inventors: Michael Zhang, et al.
Our Docket No. ACSC 59931 (1987)



FAXED TO: (703) 746-4060

TOTAL NUMBER OF PAGES INCLUDING THIS PAGE 15

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL, OR OTHERWISE EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF YOU ARE NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

MESSAGE: Please see copy of the following documents enclosed: Request for Substitute Papers; papers filed on February 7, 2002 (certificate of mailing dated December 21, 2001).

Please contact Pia Stratford Murphy if there are any problems.

Telephone: (310) 824-5555

Fax: (310) 824-9696



UNITED STATES PATENT AND TRADEMARK OFFICE

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| Application Number | Filing Date | First Named Applicant | Atty. Docket No. |
|--------------------|-------------|-----------------------|------------------|
| 09/989,796 | 11/20/2001 | Zhang, Michael | 9600-6831 |

604
Edward J. Lynch
Coudert Brothers LLP
600 Beach Street, 3rd Floor
San Francisco CA 94109

Title: Balloon forming process

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MAR 29 2002

COUDERT BROTHERS LLP
SAN FRANCISCO



AC SC - 59931

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BY CLOUD DEPT

APR 10 2002

FULWIDER PATTON LEE & UTECH, LLP
LOS ANGELES

Date Mailed: 03/25/2002

Request for Substitute Papers

The papers filed on 02/07/02 (certificate of mailing dated 12/12/01) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: GUNTHER HANKE Registration No.: 32,989

Signature: [Signature] Date: 4/18/02



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BOX MISSING PARTS

DOCKET NO./ATTY/ASST: 9600.6831 / E.J.L. / R. Der

SERIAL NO.: 09/989,796
FILED: November 20, 2001

DOCUMENTS: Transmittal for Submission of Missing Parts
of Application; Two Declarations and Powers of Attorney;
Copy of the Notice to File Missing Parts of Nonprovisional
Application - Filing Date Granted - Part 2; check in the total
amount of \$130.00; and return receipt postcard.

CERTIFICATE OF MAILING PURSUANT TO 37 CFR §1.8
Mailed in San Francisco, CA on December 12, 2001



COUDERT BROTHERS LLP
600 Beach St., 3rd Floor
San Francisco, CA 94109